

#3.

<b>Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity)</b>			<b>Docket No. 5855-03-BHJ</b>
In Re Application Of: <b>Sau-Hung Spence Leung et al.</b>			
<b>Serial No. 09/978,152</b>	<b>Filing Date October 16, 2001</b>	<b>Not Assigned</b>	<b>Group Art Unit 1614</b>
<b>Invention: BIOADHESIVE ANTIBACTERIAL WOUND HEALING COMPOSITION</b>			
<p style="text-align: center;"><u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u></p> <p>This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>November 20, 2001</u>. <i>Date</i></p> <p>Enclosed herewith for filing are the following:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). <b>(REQUIRED)</b></li><li><input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.</li><li><input checked="" type="checkbox"/> Other (list):</li></ul> <div style="border: 1px solid black; padding: 10px; min-height: 200px;"><p><b>Response to Notice of Missing Parts authorizing payment of fee.</b></p><p><b>Postal Card Receipt.</b></p></div>			

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**5855-03-BHJ**

In Re Application Of: **Sau-Hung Spence Leung et al.**

Serial No.  
**09/978,152**

Filing Date  
**October 16, 2001**

Examiner  
**Not Assigned**

Group Art Unit  
**1614**

Invention: **BIOADHESIVE ANTIBACTERIAL WOUND HEALING COMPOSITION**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

☒ Completion of application fees as calculated below:

☒ Utility application filing fee **\$740.00**

☐ Design application filing fee                     

☒ Total number of independent claims =     **1**                         

☒ Total number of claims =     **1**                         

☐ Multiple dependent claims                     

☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath                     

☐ Petition and fee for filing by other than all the inventors or a person not the inventor                     

☐ Fee for processing an application filed with a non-English language specification                     

☒ Fee for processing and retention of application **\$130.00**

Total completion of application fees **\$870.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from:                      *Date*      until:                      *Date*

Total time extension fees                     

Total fees due **\$870.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**5855-03-BHJ**

In Re Application Of: **Sau-Hung Spence Leung et al.**

Serial No.  
**09/978,152**

Filing Date  
**October 16, 2001**

Examiner  
**Not Assigned**

Group Art Unit  
**1614**

Invention: **BIOADHESIVE ANTIBACTERIAL WOUND HEALING COMPOSITION**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of **\$870.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **23-0458**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **23-0458**  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: **12/7/01**

**Barry H. Jacobsen**  
Reg. No. 43,689  
Warner-Lambert Company  
201 Tabor Rd  
Morris Plains, NJ 07950  
Phone (973) 385 7072  
Fax (973) 385 3117

I certify that this document and fee is being deposited  
on **12/7/01** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

**Mary M. Helmken**

Typed or Printed Name of Person Mailing Correspondence

CC:



PD#5855-C1-03-BHJ

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )

Examiner: Not Assigned

SAU-HUNG SPENCE LEUNG et al. )

Group Art Unit: 1614

Application No.: 09/978,152 )

Filed: November 20, 2001 )

For: BIOADHESIVE ANTIBACTERIAL )  
WOUND HEALING COMPOSITION

Date: December 7, 2001

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice to File Missing Parts mailed November 20, 2001, the Commissioner is hereby authorized to charge and credit Deposit Account No. 23-0458, as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \$870.00 as filing fee.

Credit any overpayment.

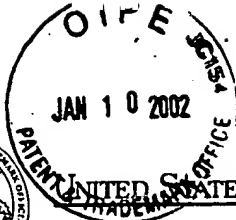
Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 38 C.F.R. 1.311(b).

Respectfully submitted,

Barry H. Jacobsen  
Attorney for Applicants  
Reg. No. 43,689

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Phone (973) 385-7072  
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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/978,152	10/16/2001	Sau-Spence Leung	5855-03-BHJ

CONFIRMATION NO. 4192

## FORMALITIES LETTER



\*OC000000007102740\*

 Warnr-Lambert Company  
 201 Tabor Rd.  
 Morris Plains, NJ 07950

Date Mailed: 11/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

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*A copy of this notice **MUST** be returned with the reply.*

  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/15/2002 NROCHA1 00000050 230458 09978152

 01 FC:101 740.00 CH  
 02 FC:105 130.00 CH